

Research Report

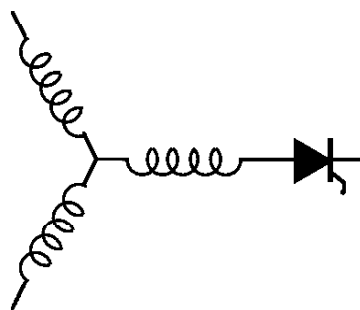
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**Simple Analytical and Graphical Tools for Carrier
Based PWM Methods**

A.M. Hava, *R.J. Kerkman, T.A. Lipo

Wisconsin Power Electronics Research Center
University of Wisconsin-Madison
Madison WI 53706-1691

*Rockwell Automation-Allen Bradley
6400 W. Enterprise Drive
Mequon WI 53092-0760



**Wisconsin
Electric
Machines &
Power
Electronics
Consortium**

University of Wisconsin-Madison
College of Engineering
Wisconsin Power Electronics Research Center
2559D Engineering Hall
1415 Engineering Drive
Madison WI 53706-1691

Simple Analytical and Graphical Tools for Carrier Based PWM Methods

Ahmet M. Hava[†] Russel J. Kerkman[‡] Thomas A. Lipo[†]

[†] University of Wisconsin-Madison [‡] Rockwell Automation-Allen Bradley
 1415 Engineering Drive 6400 W. Enterprise Drive
 Madison, WI 53706-1691 Mequon, WI 53092-0760
 e-mail: hava@cae.wisc.edu rjkerkman@meq1.ra.rockwell.com lipo@enr.wisc.edu

Abstract— This paper provides analytical and graphical tools for the study, performance evaluation, and design of the modern carrier based PWM methods which are widely employed in PWM-VSI drives. Simple techniques for generating the modulation waves of the high performance PWM methods are described. The two most important modulator characteristics, the current ripple and the switching losses are analytically modeled. The graphical illustration of these often complex multivariable functions accelerate the learning process and help understand the microscopic (per carrier cycle) and macroscopic (per fundamental cycle) behavior of all the modern PWM methods. The analytical formulas and graphics are valuable educational tools. They also aid the design and implementation of the high performance PWM methods.

I. INTRODUCTION

Voltage Source Inverters (VSIs) are utilized in AC motor drive, utility interface, and Uninterruptible Power Supply (UPS) applications as means for DC ↔ AC electric energy conversion. Shown in Figure 1, the classical VSI generates a low frequency output voltage with controllable magnitude and frequency by programming high frequency voltage pulses. Of the various pulse programming methods, the carrier based Pulse Width Modulation (PWM) methods are the preferred approach in most applications due to the low harmonic distortion waveform characteristics with well defined harmonic spectrum, the fixed switching frequency, and implementation simplicity.

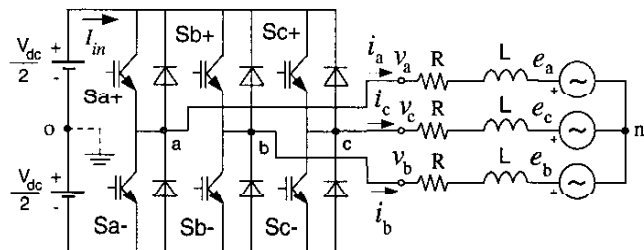


Fig. 1. Circuit diagram of a PWM-VSI drive connected to an R-L-E type load.

Carrier based PWM methods employ the “per carrier cycle volt-second balance” principle to program a desirable inverter output voltage waveform. Two main implementation techniques exist: the triangle intersection technique and the direct digital technique. In the triangle intersection technique, for example in the Sinusoidal PWM (SPWM) method [1], as shown in Figure 2, the reference modulation wave is compared with a triangular carrier wave and the intersections define the switching instants. As illustrated in the space vector diagram in Figure 3, the time length of the inverter states in the direct digital technique are precalculated for each carrier cycle by employing space vector theory and the voltage pulses are directly programmed [2, 3].

With the volt-second balance principle being quite simple, a variety of PWM methods have appeared in the technical literature; each method results from a unique placement of the voltage pulses in isolated neutral type loads.

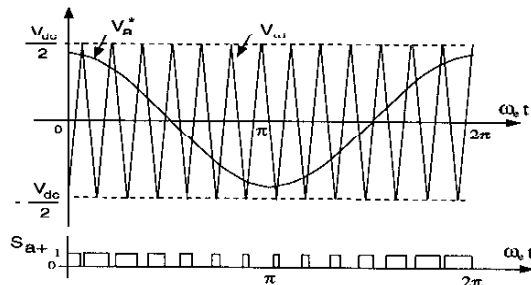


Fig. 2. Triangle intersection PWM phase “a” modulation and switching signals.

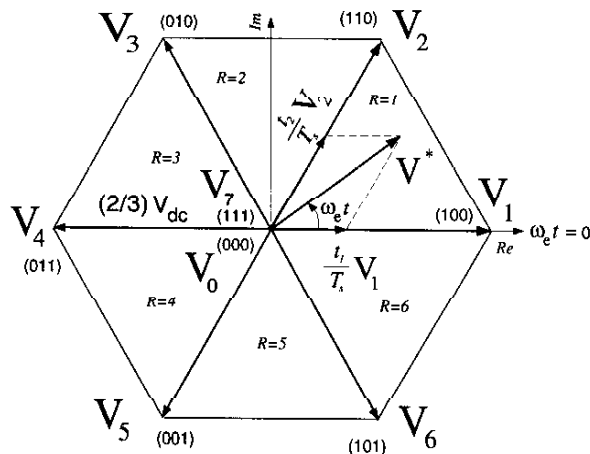


Fig. 3. The space vector diagram illustrates the direct digital implementation principle. The upper switch states are shown in the bracket as (Sa+, Sb+, Sc+) and “1” is “on” state while “0” corresponds to “off” state.

In most three phase AC motor drive and utility interface applications the neutral point is isolated and no neutral current path exists. In such applications in the triangle intersection implementations any zero sequence signal can be injected to the reference modulation waves [4, 5]. The n-o potential in Figure 1 which will be symbolized with v_0 can be freely varied. This degree of freedom is illustrated with the generalized signal diagram of Figure 4. A properly selected zero sequence signal can extend the volt-second linearity range of SPWM. Furthermore, it can improve the waveform quality and reduce the switching losses significantly. Recognizing these properties, many researchers have been

investigating the zero sequence signal dependency of the modulator performance and a large number of PWM methods with unique characteristics have been reported [6]. Detailed research showed the freedom in selecting the partitioning of the two zero states "0" (000) and "7" (111) in the direct digital PWM technique is equivalent to the freedom in selecting the zero sequence signal in the triangle intersection PWM technique [2, 7].

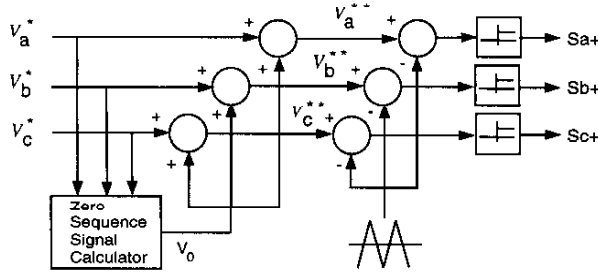


Fig. 4. The generalized signal block diagram of the triangular intersection technique based PWM employing the zero sequence injection principle.

Although the PWM literature is rich and the technology is mature, the educational material in this field is scarce. Among the large amount of publications, several papers report and utilize powerful performance analysis tools and discuss the performance characteristics of various zero sequence signal injection carrier based PWM methods [8, 9, 10]. However, the information is often scattered and incomplete. Since the modulator characteristics are often complex multivariable functions and the analytical formulas are nonintuitive, the PWM learning process can be discouraging and a methodical approach is required. To understand the characteristics of various modulators, both the per carrier cycle and per fundamental cycle behavior must be carefully studied. Therefore, establishing a methodical approach and bringing analytical tools together aids the PWM study. Furthermore, graphical tools that illustrate the performance characteristics of a modulator and compare it to other modulators would be valuable and they would accelerate the learning process. This paper brings the analytical tools together and adds several important components to the toolbox. In addition to simplifying and accelerating the PWM learning process, the graphical illustrations and analytical tools aid the design, performance evaluation, and implementation of the modern PWM methods.

The paper first reviews the carrier based PWM principle and summarizes the triangle intersection and space vector approaches which lead to two different implementation techniques. After a brief review of the modern PWM methods, simple methods for generating the modulation waves of the modern triangle intersection PWM methods are described. The remainder of the paper is dedicated to the development of simple analytical tools for performance analysis. Analytical current harmonic and switching loss characteristics of various modulators are derived, graphically illustrated, and compared to distinguish the important differences. Voltage linearity is also discussed.

Since the performance characteristics of a modulator are primarily dependent on the voltage utilization level, i.e. modulation index, it is helpful to define a modulation index term at this stage. For a given DC link voltage V_{dc} , the ratio of the fundamental component magnitude of the line to neutral inverter output voltage, V_{1m} , to the fundamental component magnitude of the six-step mode voltage, $V_{1m6step} = \frac{2V_{dc}}{\pi}$, is termed the modulation index M_i [6]:

$$M_i = \frac{V_{1m}}{V_{1m6step}} \quad (1)$$

II. REVIEW OF THE CARRIER BASED PWM PRINCIPLE

Although it does not affect the inverter line to line voltage per carrier cycle average value, the zero sequence signal of a modulator significantly influences the switching frequency characteristics. Therefore, the per carrier cycle (microscopic) characteristics of different modulators are important and must be modeled for detailed analysis.

As shown in Figure 5 in the triangle intersection method, the modulation signals are compared with the triangular carrier wave and the intersection points define the switching instants. The duty cycle of each switch can be easily calculated in the following.

$$d_{Sx+} = \frac{1}{2} \left(1 + \frac{v_x^{**}}{V_{dc}} \right) \quad \text{for } x \in \{a, b, c\} \quad (2)$$

$$d_{Sx-} = 1 - d_{Sx+} \quad \text{for } x \in \{a, b, c\} \quad (3)$$

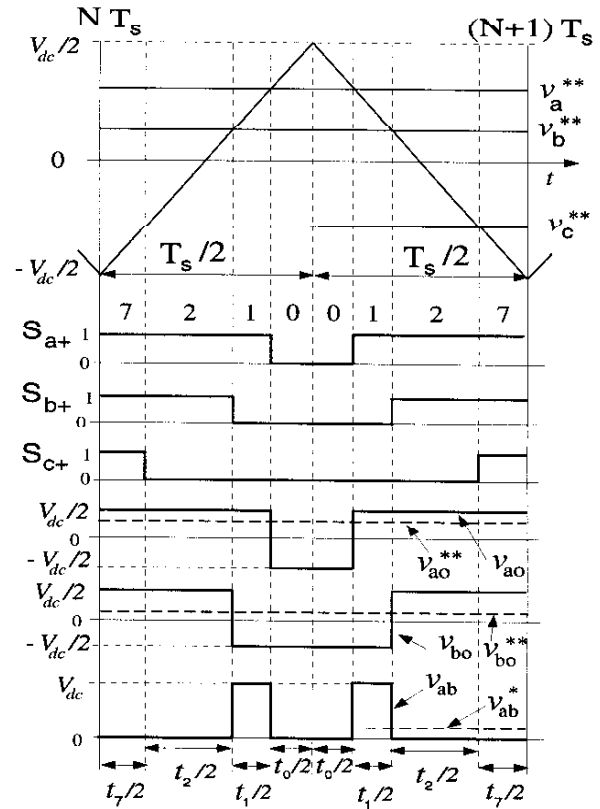


Fig. 5. The per carrier cycle view of switch logic signals, inverter states, and VSI output voltages for $0 \leq w_e t \leq \frac{\pi}{3}$ ($R = 1$).

With the modulation waveforms defined with the following cosine functions, the $w_e t$ time axis of the modulation waves and complex plane reference voltage vector angle $w_e t$ coincide.

$$v_a^{**} = v_a^* + v_0 = V_{1m}^* \cos(w_e t) + v_0 \quad (4)$$

$$v_b^{**} = v_b^* + v_0 = V_{1m}^* \cos(w_e t - \frac{2\pi}{3}) + v_0 \quad (5)$$

$$v_c^{**} = v_c^* + v_0 = V_{1m}^* \cos(w_e t + \frac{2\pi}{3}) + v_0 \quad (6)$$

For the above defined modulation functions and $0 \leq \omega_e t \leq \frac{\pi}{3}$, the inverter states of the triangle intersection PWM methods are 7-2-1-0-0-1-2-7 as shown in Figure 5. This symmetric switching sequence is superior to other sequences due to the low distortion characteristic. Therefore, this sequence is adopted in the direct digital methods also [2]. As will be later discussed in detail, either the two "7" states at both ends, or the "0" states in the middle are often omitted to further reduce the switching frequency. The zero state to be eliminated is the state which reduces the switching losses more [7]. Notice that a zero sequence signal simultaneously shifts the three reference signals in the vertical direction and while it changes the position of the output line-to-line voltage pulses, i.e. the active inverter state time lengths, it does not affect their width. The time length of the active and zero states of the triangle intersection methods can be directly calculated from the duty cycle information; Figure 5 illustrates these relations. However, in the direct digital technique, the inverter state time lengths are directly calculated employing space vector theory and Zero State Partitioning (ZSP) is selected by the programmer.

In the space vector approach, employing the complex variable transformation, the time domain modulation signals are translated to the complex reference voltage vector which rotates in the complex coordinates at the $\omega_e t$ angular speed in the following.

$$V^* = \frac{2}{3}(v_a^* + av_b^* + a^2v_c^*) = V_{1m}^* e^{j\omega_e t} \quad \text{where } a = e^{j\frac{2\pi}{3}} \quad (7)$$

The complex number volt-second balance equation in the R 'th sector of the hexagon in Figure 3 determines the time length of the two adjacent state active inverter states R and $R+1$ ($R = 6 \rightarrow R+1 = 1$) and the total zero state time length in the following.

$$V_R t_R + V_{R+1} t_{R+1} = V^* T_s \quad (8)$$

$$t_R = \frac{2\sqrt{3}}{\pi} M_i \sin(R\frac{\pi}{3} - \omega_e t) T_s \quad (9)$$

$$t_{R+1} = \frac{2\sqrt{3}}{\pi} M_i \sin(\omega_e t - (R-1)\frac{\pi}{3}) T_s \quad (10)$$

$$t_0 + t_7 = T_s - t_R - t_{R+1} \quad (11)$$

Defined by the following, ZSP of the two inverter zero states, ζ_0 and ζ_7 , provides the degree of freedom in the direct digital technique [7].

$$\zeta_0 = \frac{t_0}{t_0 + t_7} \quad (12)$$

$$\zeta_7 = 1 - \zeta_0 \quad (13)$$

In order to simplify the analytical investigations, the inverter state time lengths can be expressed in terms of per carrier cycle or per half carrier cycle duty cycle in the following.

$$d_R = \frac{t_R}{T_s} = \frac{t_R/2}{T_s/2} \quad \text{for } R \in \{0, 1, \dots, 7\} \quad (14)$$

With the degree of freedom in the triangle intersection PWM being the v_0 signal, and in the direct digital technique the ζ_0 partitioning, the modern PWM methods are discussed next.

III. MODERN PWM METHODS AND THE MAGNITUDE RULES

Although theoretically an infinite number of zero sequence signals and therefore modulation methods could be developed, the performance and simplicity constraints of practical PWM-VSI drives reduce the possibility to a small number. Over the last three decades of PWM technology evolution, about ten high performance carrier based PWM methods were developed and of these only several have gained wide acceptance. Figure 6 illustrates the modulation and zero sequence signal waveforms of these modern triangle intersection PWM methods. In the figure, unity triangular carrier wave gain is assumed and the signals are normalized to $\frac{V_{dc}}{2}$. Therefore, ± 1 saturation limits correspond to ± 1 . In the figure only phase "a" modulation wave is shown, and the modulation signals of phase "b" and "c" are identical waveforms with 120° phase lag and lead with respect to phase "a." The references indicated in the figure correspond to the original articles reporting these modulators.

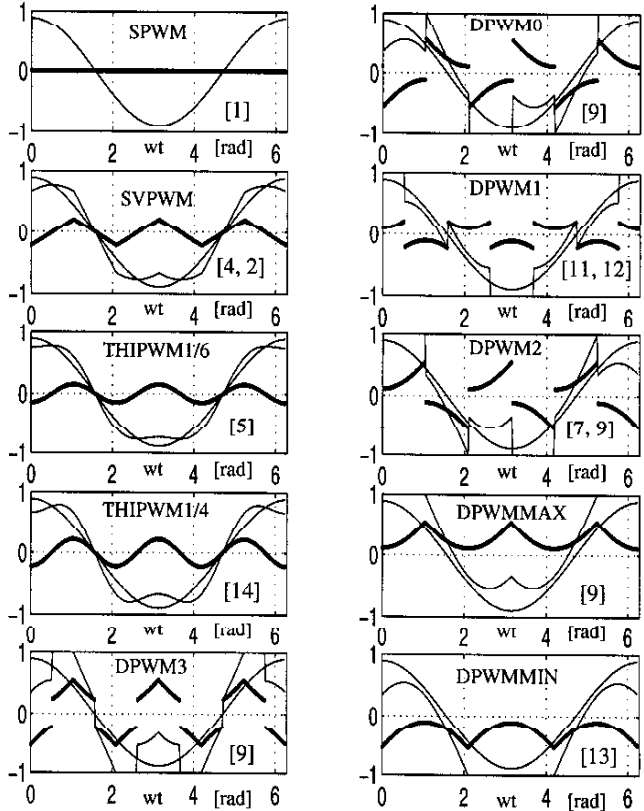


Fig. 6. Modulation waveforms of the modern PWM methods ($M_i = 0.7$).

The modulators illustrated in Figure 6, can be separated into two groups. In the Continuous PWM (CPWM) methods, the modulation waves are always within the triangle peak boundaries and within every carrier cycle triangle and modulation wave intersections and therefore on and off switchings occur, while in the Discontinuous PWM (DPWM) methods, the modulation wave of a phase has at least one segment which is clamped to the positive and/or negative DC rail for at most a total of 120° , therefore within such intervals the corresponding inverter leg discontinues modulation. Since no modulation implies no switching losses, the switching loss characteristics of CPWM and

DPWM methods are different. Detailed studies indicated the waveform quality and linearity characteristics are also significantly different. Therefore, this classification aids in distinguishing the differences.

Of the four modern CPWM methods shown in Figure 6, the SPWM method is the simplest modulator with limited voltage linearity range and poor waveform quality in the high modulation range. The triangle intersection implementation of the Space Vector PWM (SVPWM) method and the two Third Harmonic Injection PWM (THIPWM) methods are the other three CPWM methods which were reported in the literature. These modulators are discussed in the following.

THIPWM: Due to the simplicity of algebraically defining their zero sequence signals, these modulators have been frequently discussed in the literature. With v_a^* defined as in (4), the zero sequence signal of THIPWM1/6 is $v_0 = -\frac{V_{dc}}{6} \cos 3\omega_e t$ [5] and for THIPWM1/4 $v_0 = -\frac{V_{dc}}{4} \cos 3\omega_e t$ [14] is selected. Both methods suffer from implementation complexity, because generating the $\cos 3\omega_e t$ signal is difficult both with hardware and software. Trigonometric identities can be utilized to compute $\cos 3\omega_e t$ from the $\cos \omega_e t$ signal, however the computational intensity (several multiplications are required) results in loss of significance by several bits and poor resolution is obtained in signal processors with limited wordlength. Although the THIPWM1/4 has theoretically minimum harmonic distortion, it is only slightly better than SVPWM and has narrower voltage linearity range [6, 15, 16]. With their performance being very similar to SVPWM and implementation complexity significantly higher, both THIPWM methods have academic and historical value, but little practical importance.

SVPWM: The zero sequence signal of SVPWM is generated by employing the minimum magnitude test which compares the magnitudes of the three reference signals and selects the signal with minimum magnitude [17]. Scaling this signal by 0.5, the zero sequence signal of SVPWM is found. Assume $|v_a^*| \leq |v_b^*|, |v_c^*|$, then $v_0 = 0.5 \times v_a^*$. The analog implementation of SVPWM which employs a diode rectifier circuit to collect the minimum magnitude signal from the three reference signals is possibly the earliest zero sequence signal injection PWM method reported [4]. About a decade later, this modulator reappeared in the literature with direct digital implementation [2]. Since the direct digital implementation utilized the space vector theory, the method was named SVPWM. In addition to its implementation simplicity, the SVPWM method has superior performance characteristics and is possibly the most popular method. However, its high modulation range performance is inferior to DPWM methods, which also employ similar magnitude rules to generate their modulation waves. In the following the modern DPWM methods and their magnitude rules are summarized.

DPWM3: The reference signal with the intermediate magnitude defines the zero sequence signal. Assume $|v_b^*| \leq |v_a^*| \leq |v_c^*|$, then $v_0 = \text{sign}(v_a^*) \frac{V_{dc}}{2} - v_a^*$. This method has low harmonic distortion characteristics [15].

DPWMMAX: The reference signal with the maximum value defines the zero sequence. Assume $v_b^* \leq v_a^* \leq v_c^*$, then $v_0 = \frac{V_{dc}}{2} - v_c^*$ yields and phase "c" is unmodulated [13].

DPWMMIN: The reference signal with the minimum value defines the zero sequence. Notice the DPWMMAX and DPWMMIN methods have nonuniform thermal stress on the switching devices and in DPWMMAX the upper devices have higher conduction losses than the lower, while in DPWMMIN the opposite is true.

GDPWM: DPWM0, DPWM1 and DPWM2 are three special cases of a Generalized DPWM (GDPWM) method [18], therefore a general study of the GDPWM method is sufficient. Figure 7 illustrates the zero

sequence signal generation method of GDPWM. To aid the description of GDPWM, it is useful to define the modulator phase angle ψ increasing from the intersection point of the two reference modulation waves at $\omega_e t - \frac{\pi}{6}$ as shown in Figure 7. From ψ to $\psi + \frac{\pi}{3}$, the zero sequence signal is the shaded signal which is equal to the difference between the saturation line ($\frac{V_{dc}}{2}$) and the reference modulation signal which passes the maximum magnitude test. In the maximum magnitude test, all three reference modulation signals $v_a^*, v_b^*,$ and v_c^* are phase shifted by $\psi - \frac{\pi}{6}$, and of the three new signals $v_{ax}^*, v_{bx}^*,$ and v_{cx}^* , the one with the maximum magnitude determines the zero sequence signal. Assume $|v_{ax}^*| \geq |v_{bx}^*|, |v_{cx}^*|$, then, $v_0 = (\text{sign}(v_{ax}^*)) \frac{V_{dc}}{2} - v_{ax}^*$. Adding this zero sequence signal to the three original modulation waves $v_a^*, v_b^*,$ and v_c^* , the GDPWM waves $v_a^{**}, v_b^{**},$ and v_c^{**} are generated. For $\psi = 0$ DPWM0, for $\psi = \frac{\pi}{6}$ DPWM1, for $\psi = \frac{\pi}{3}$ DPWM2 correspond to only three operating points on the full ψ range of the modulator ($0 \leq \psi \leq \frac{\pi}{3}$). Due to their superior performance characteristics, these three operating points of GDPWM have found a wide range of applications.

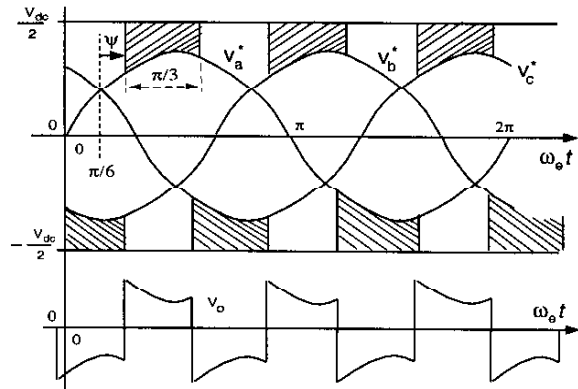
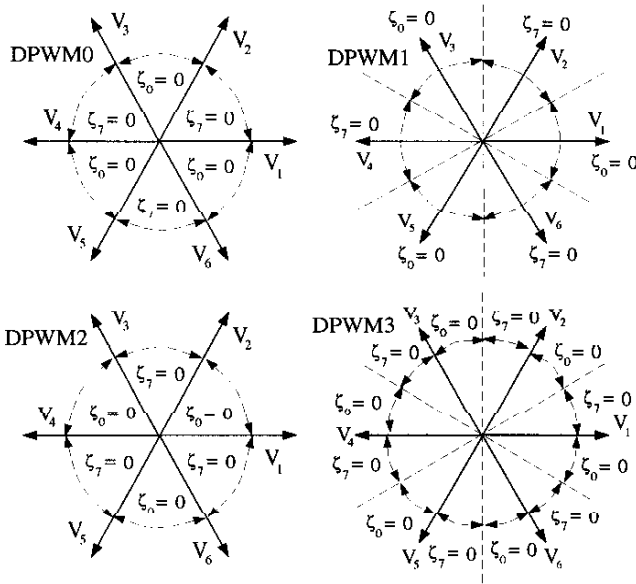


Fig. 7. Generating the GDPWM zero sequence signal with the ψ variable.

All the magnitude tests require a small number of computations and therefore can be easily implemented with a microcontroller or DSP. Due to the simplicity of the algorithm, it is easy to program two or more methods and on-line select a modulator in each operating region in order to obtain the highest performance [18]. Analog or digital hardware implementations of the above modulators can be easily developed by following the magnitude test computational procedures. With the exception of THIPWM and SPWM methods, all the above discussed triangle intersection PWM methods can be easily implemented in the direct digital method. Mapping the zero state partitioning of the time domain modulation waves of Figure 6 onto the vector space domain, the direct digital implementation equivalents can be easily obtained. Figure 8 illustrates this equivalency and the ZSP of each method. A clear illustration of this equivalency is an important step towards simplifying the learning process.

Due to its simplicity, the magnitude test is a very effective tool for simulation, analysis, and graphic illustration of different modulation methods. For example, the simulation or DSP implementation of the SVPWM method with a direct digital technique is involved: the sector to which the voltage vector belongs has to be identified first, then the time length of each active vector must be calculated, and finally gate pulses must be generated in a correct sequence. Although it is possible to reduce the direct digital PWM algorithms, the effort does not yield as simple and intuitive a solution as the magnitude test [19]. Therefore, employing the magnitude test the triangle intersection PWM method



SVPWM: $\zeta_0 = \zeta_7 = 0.5$ DPWMMAX: $\zeta_0 \rightarrow 0$ DPWMMIN: $\zeta_7 = 0$

Fig. 8. Zero state partitioning of the modern PWM methods. DPWMMIN, DPWMMAX, and SVPWM have space invariant partitioning.

is superior to the direct digital method from a simulation as well as implementation perspective. With the modulation signals generated by the magnitude test, the performance analysis follows.

IV. WAVEFORM QUALITY

The linear modulation range output voltage of a carrier based PWM-VSI drive contains harmonics at the carrier frequency, at its integer multiples, and at the side bands of all these frequencies which will all be termed as "the switching frequency harmonics." With sufficiently high carrier frequency, f_s to fundamental frequency, f_c ratios ($\frac{f_s}{f_c} > 20$) the low frequency reference volt-seconds are programmed accurately and the subcarrier frequency harmonic content is negligible [8]. Since modern power electronics switching devices such as IGBTs and MOSFETs typically meet this requirement, the voltage and current waveform quality of the PWM-VSI drives is determined by the switching frequency harmonics. Since they determine the switching frequency copper losses and the torque ripple of a motor load and the line current Total Harmonic Distortion (THD) of a line connected VSI, the switching frequency harmonic characteristics of a PWM-VSI drive are important in determining the performance. While the copper losses are measured over a fundamental cycle and therefore require a per fundamental cycle (macroscopic) RMS ripple current value calculation, the peak and local stresses are properly investigated on a per carrier cycle (microscopic) base. Therefore, first a microscopic and then a macroscopic investigation is required.

Perhaps, the most intuitive and straightforward approach for analytical investigation of the switching frequency harmonic characteristics of a PWM-VSI is the vector space approach [20, 21]. As illustrated in the vector diagram of Figure 9, within each carrier cycle the harmonic voltage vectors V_{1h} , V_{2h} , and V_{0h} , are space and modulation index dependent. Along with the harmonic voltage vectors, the duty cycle of the active inverter states and partitioning of the two zero states determine the harmonic current trajectories. Instead of the harmonic

current trajectories, the conceptual harmonic flux (time integral of the harmonic voltage vector) λ_h trajectories can be investigated and with the assumption the load switching frequency model is an inductance (this is true in most applications due to $\frac{L_s}{f_s} > 20$), the harmonic current and harmonic flux trajectories are only different in scale ($\lambda = Li$). The harmonic flux in the N 'th carrier cycle is calculated in the following.

$$\lambda_h(M_i, \theta, V_0) = \int_{NT_s}^{(N+1)T_s} (V_k - V^*) dt \quad (15)$$

In the above formula, V_k is the inverter output voltage vector of the k 'th state and within the carrier cycle it changes according to the selected switching sequence (7-2-1-0-0-1-2-7 for $R = 1$). Note the harmonic flux calculation requires no load information, and completely characterizes the switching frequency behavior of a modulator. Since for high $\frac{f_s}{f_c}$ values the V^* term can be assumed constant within a carrier cycle, and the V_k terms are constant complex numbers, the above integral can be closed form calculated and the flux trajectories are linear over each state. Assuming its value at the beginning of the carrier cycle is zero, the harmonic flux vector crosses the origin at the center and at the end of the carrier cycle again. Therefore, (15) always assumes zero initial value. Since in the triangular intersection and direct digital PWM methods only symmetric switching sequences are generated, the integral need only be calculated in the first half of the carrier cycle and the second half of the trajectory is exact symmetrical to the first. As illustrated in Figure 9 for the first segment of the inverter hexagon, the harmonic flux trajectories form two triangles which may slide along the reference vector line in opposite directions with respect to the origin. It is apparent from the diagram ZSP determines the slip and affects the harmonic characteristics. Therefore, the harmonic flux trajectories of each PWM method are unique.

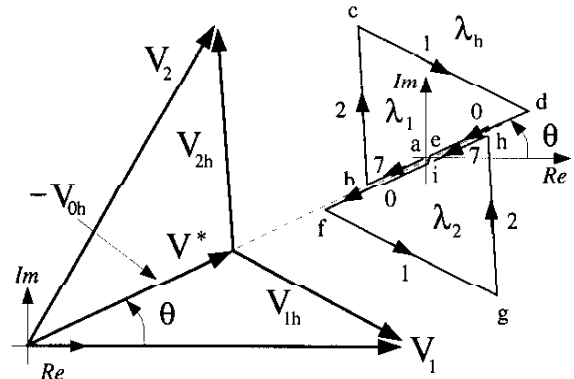


Fig. 9. Harmonic flux trajectories in the first segment of the hexagon.

Calculating the harmonic flux vector for a half carrier cycle for the first region of the vector space for an arbitrary set of M_i , θ , and ZSP (V_0) and normalizing to λ_b for further simplification the following normalized analytical harmonic flux formula $\lambda_1(d, M_i, \theta)$ yields.

$$\lambda_b = \frac{2V_{dc} T_s}{\pi} \quad (16)$$

$$\lambda_1 = \frac{\lambda_{h1}}{\lambda_b} \quad (17)$$

$$\lambda_1 = \begin{cases} -M_i e^{j\theta} d & 0 \leq d \leq d_7 \\ -\frac{\pi}{3} e^{j\frac{\pi}{3}} d_7 + (\frac{\pi}{3} e^{j\frac{\pi}{3}} - M_i e^{j\theta}) d & d_7 \leq d \leq d_7 + d_2 \\ -M_i e^{j\theta} (d_7 + d_2) + \frac{\pi}{3} e^{j\frac{\pi}{3}} d_2 + (\frac{\pi}{3} - M_i e^{j\theta})(d - d_7 - d_2) & d_7 + d_2 \leq d \leq 1 - d_0 \\ \frac{\pi}{3} (d_1 + d_2 e^{j\frac{\pi}{3}}) - M_i e^{j\theta} d & 1 - d_0 \leq d \leq 1 \end{cases} \quad (18)$$

In the second half of the carrier cycle, the harmonic flux can be calculated from the symmetry condition: $\lambda_2(d) = -\lambda_1(1-d)$. However, in this half the inverter state duty cycles must be evaluated in the reverse sequence to the first half of the carrier cycle. The above equation can be easily programmed for any PWM method and the space and modulation index dependency of the harmonic flux/current can be graphically illustrated. Since the inverter hexagon has six-fold symmetry, only the first segment need be investigated. The duty cycle of the active states d_1 and d_2 in this segment are calculated from (9) and (10). In the direct digital method the zero states are directly defined, while in the triangle intersection method the modulation waves are utilized to calculate the phase duty cycles from (2). For example, for $R=1$ Figure 5 suggests $d_0 = d_{a-}$ and $d_7 = d_{c+}$.

Figure 10 illustrates the normalized harmonic flux trajectories which are calculated from (18) for various modulators and operating conditions. To allow better visualization and clearer harmonic flux trajectory comparison, only the trajectories in the first half of a carrier cycle are illustrated in the figure and the second half is always the exact symmetric of the first. Figure 10 (a) illustrates the space dependency of the SPWM method harmonic flux. As the figure indicates, the "0" and "7" state duty cycles are not always equally split and the varying triangle shapes indicate the space dependency of the harmonic flux is strong. Figure 10 (b) compares SVPWM and THIPWM1/4 for two different angular positions. At $\omega_e t = 30^\circ$ the triangles are identical, however at $\omega_e t = 15^\circ$ the triangles have slipped. While SVPWM splits the zero states equally, the THIPWM1/4 method does slide the triangle in the direction such that the center of gravity becomes closer to the origin. Since the distance to the origin is equal to the magnitude of the harmonic flux, the trajectories which are closer to the origin result in smaller harmonic flux and the per carrier cycle RMS flux value decreases [10]. Figures 10 (c) and (d) compare SVPWM and DPWM1 and illustrate that the DPWM method always skips one of the two zero states. Therefore the DPWM1 flux triangle is quite distant from the origin. However, increasing the carrier cycle shrinks the triangle size and brings the weight center of the triangle closer to the origin and reduces the harmonic flux. When comparing the CPWM and DPWM modulator performances, to account for the reduction in the number of per fundamental cycle switchings of the DPWM methods, a carrier frequency coefficient k_f is introduced in the following,

$$k_f = \frac{f_{sCPWM}}{f_{sDPWM}} \quad (19)$$

Employing (18), the per carrier cycle RMS value of the harmonic flux λ_{1RMS} can be closed form calculated. Since the first and the second halves of the trajectory have the same RMS value due to symmetry, calculating only the first is sufficient. Involved calculations yield the following M_i and duty cycle dependent formula.

$$\lambda_{1RMS}^2 = \int_0^1 \lambda_1^2 dd = \lambda_{11}^2 + \lambda_{12}^2 + \lambda_{13}^2 \quad (20)$$

$$\lambda_{11}^2 = \frac{\pi^2}{18} M_i^2 \left(\frac{1}{3} + d_0^2 + d_1^2 - d_0 - d_1 + 2d_0 d_1 \right) \quad (21)$$

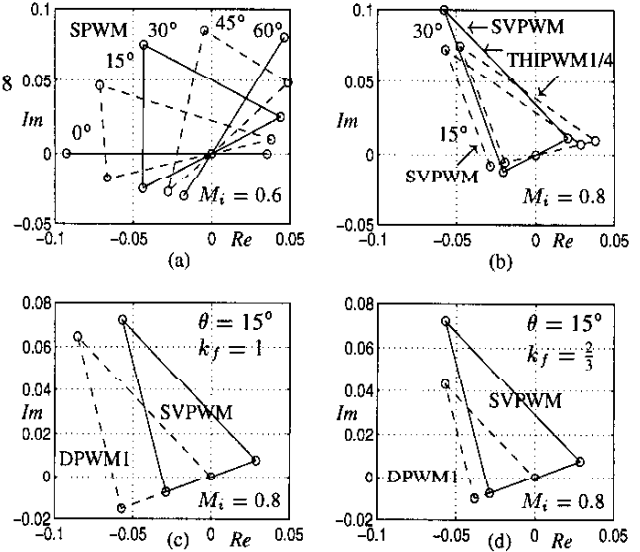


Fig. 10. The switching frequency harmonic flux trajectories of various PWM methods. (a): SPWM, (b): SVPWM and THIPWM1/4 compared for $\omega_e t = 15^\circ$ and $\omega_e t = 30^\circ$ values, (c): SVPWM and DPWM1 compared for $k_f = 1$, (d): SVPWM and DPWM1 for $k_f = \frac{2}{3}$.

$$\lambda_{12}^2 = \frac{\pi^4}{72} \left[\frac{1}{3} (2d_1^3 - 4d_2^3 - 4d_1^4 + 2d_2^4 + 7d_1 d_2^3 - 2d_2 d_1^3) + d_1^2 d_2^2 \right] \quad (22)$$

$$\lambda_{13}^2 = \frac{\pi^4}{72} [-d_1 d_2^2 + d_0 (d_1 d_2^2 - 2d_1^3 + 2d_2^3 - d_1^2 d_2)] \quad (23)$$

Employing the above formula, the θ and M_i dependency of λ_{1RMS}^2 of various PWM methods can be easily computed and graphically illustrated. Figure 11 and Figure 12 compare the RMS harmonic flux characteristics of the modern methods for two modulation index values. The figures indicate the CPWM methods have lower harmonic distortion than the DPWM methods and the difference is more pronounced at low M_i . The THIPWM1/4 method, which is the minimum harmonic distortion method (the optimality condition can be verified by searching the minimum of (20) with respect to d_0 [15]), has only slightly less distortion than SVPWM and only near the 15° and 45° range. Since the DPWM methods have a discrete ZSP (0 or 1) and within certain segments ZSP of various DPWM methods is the same (see Figure 8). Therefore, calculating the RMS harmonic flux of DPWM methods is a relatively simple task and $\zeta_0 = 0$ for A and $\zeta_0 = 1$ for C are the only two functions required to determine the RMS flux curves of all DPWM methods. The overall comparison indicates, SVPWM provides superior performance in the low modulation range, however as M_i increases the performance of DPWM methods significantly improves and becomes comparable to SVPWM.

As Figures 11 and 12 clearly illustrate the strong space dependency of the per carrier cycle RMS harmonic distortion characteristics of all the modern PWM methods, it becomes apparent that performance can be gained by modulating the carrier frequency. If the carrier frequency is methodically increased at the high RMS harmonic flux intervals and reduced at the low harmonic RMS flux intervals, then the overall harmonic distortion characteristics can be reduced [22]. Provided the inverter average switching frequency is maintained constant, the

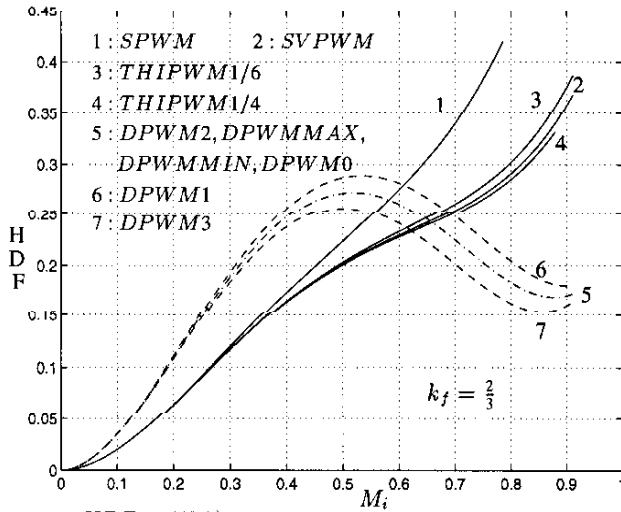


Fig. 13. $HDF = f(M_i)$ curves in the linear modulation range under constant inverter average switching frequency.

practically equal HDF which is superior to all DPWM methods. As the modulation index increases the SPWM performance rapidly degrades while the remaining CPWM methods maintain low HDF over a wide modulation range. The figure indicates the THIPWM1/4 performance is only slightly better than SVPWM, and the difference is less noticeable than the local differences shown in Figures 10 and 12. In the high modulation range the DPWM methods are superior to SVPWM (Figure 13) and the intersection point of the DPWM method of choice and SVPWM defines the optimal transition point. Although in the high modulation range the DPWM3 method has less HDF than the other DPWM methods, the improvement is marginal and the modulator selection criteria can be based on the switching loss characteristics and voltage linearity characteristics which are stronger functions of the DPWM methods. The HDF of GDPWM method is ψ dependent, and varies between curves 5 and 6 of Figure 13. Its HDF can be approximated with the average value of (32) and (34).

$$HDF_{GDPWM} \approx k_f^2 \times 0.75 \times (HDF_{DMIN} + 3HDF_{DMAX}) \quad (37)$$

Since the HDF of each PWM method is unique, the switching frequency harmonic spectrum of each method is also unique. Since the DPWM methods have two less switchings per carrier cycle than CPWM methods, the side band harmonics of the DPWM methods are wider and larger in magnitude. Calculating the individual harmonics and the peak ripple current is involved and will be omitted herein. Having illustrated the superior high modulation range waveform quality characteristics of the DPWM methods over SVPWM, in the next section the switching losses of DPWM methods will be characterized to aid an intelligent modulator choice. Following a brief section on the inverter input current harmonics, the switching losses of the DPWM methods will be analytically modeled and their performance evaluated.

V. INVERTER INPUT CURRENT HARMONICS

The DC link input current of a PWM inverter I_{in} consists of the DC average value I_{dc} which corresponds to the average power transfer to the load, and switching frequency component I_{inh} , which is due to PWM switching. Since during the zero states the DC link is decoupled from the AC load, the RMS value of the ripple current I_{inhRMS} , which is required in DC link capacitor design and loss calculations, is

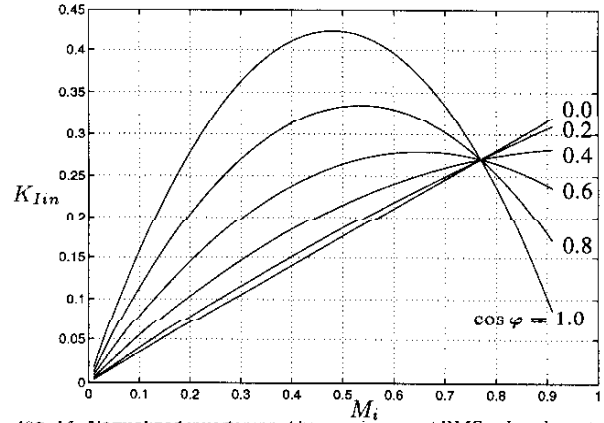


Fig. 14. Normalized inverter input harmonic current RMS value characteristics $K_{Iin} = f(M_i)$ of PWM-VSI for $\cos \varphi$ as parameter.

independent of the zero sequence signal and therefore of the modulator type. Since the duty cycles of the inverter active states are independent of the carrier frequency, I_{inhRMS} is also independent of the carrier frequency. Similar to the inverter output current harmonic RMS current calculation, I_{inhRMS} can also be easily calculated by establishing a per carrier cycle RMS value formula and evaluating it over an inverter segment [20]. The calculation yields the following M_i , load power factor ($\cos \varphi$) and load current fundamental component RMS value (I_{1RMS}) dependent DC link current ripple factor K_{Iin} formula.

$$K_{Iin} = \frac{I_{inhRMS}^2}{I_{1RMS}^2} = \frac{2\sqrt{3}}{\pi^2} M_i + \left(\frac{8\sqrt{3}}{\pi^2} - \frac{18}{\pi^2} M_i \right) M_i \cos^2 \varphi \quad (38)$$

Figure 14 illustrates the M_i and $\cos \varphi$ dependency of the K_{Iin} factor. The maximum ripple occurs at $\cos \varphi = 1$ and at $M_i = \frac{2\sqrt{3}}{18} \approx 0.48$ (a reasonable design point for capacitor sizing) and the ripple is independent of $\cos \varphi$ at $M_i = \frac{8\sqrt{3}}{18} \approx 0.77$.

VI. SWITCHING LOSSES

The switching losses of a PWM-VSI drive are load current dependent and increase with the current magnitude (approximately linearly). With CPWM methods, all the three phase currents are commutated within each carrier cycle of a full fundamental cycle. Therefore, for all CPWM methods the switching losses are the same and independent of the load current phase angle. With DPWM methods, however, the switching losses are significantly influenced by the modulation method and load power factor angle. Because, DPWM methods cease to switch each switch for a total of 120° per fundamental cycle and the location of the unmodulated segments with respect to the modulation wave fundamental component phase is modulator type dependent. Therefore, the load power factor and the modulation method together determine the time interval that the load current is not commutated. Since the switching losses are strongly dependent on and increase with the magnitude of the commutating phase current, selecting a DPWM method with reduced switching losses can significantly contribute to the performance of the drive. Therefore, it is necessary to characterize and compare the switching losses of DPWM methods.

Assuming the inverter switching devices have linear current turn-on and turn-off characteristics with respect to time and accounting only for the fundamental component of the load current, the switching losses of a PWM-VSI drive can be analytically modeled [9]. Shown in Figure

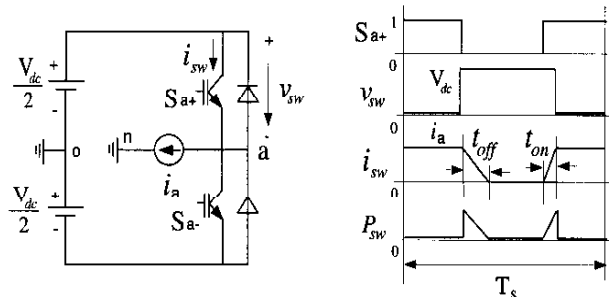


Fig. 15. The average switching losses of GDPWM, $P_{swave} = f(\psi, \varphi)$.

15, the single phase inverter model and the switching voltage/current diagram aid calculating the switching losses. Deriving the local (per carrier cycle) switching loss formula and calculating its average value over the fundamental cycle, the per fundamental cycle inverter per device switching loss P_{swave} can be calculated as follows.

$$P_{swave} = \frac{1}{2\pi} \frac{V_{dc}(t_{on} + t_{off})}{2T_s} \int_0^{2\pi} f_i(\theta) d\theta \quad (39)$$

In the above formula, t_{on} and t_{off} variables represent the turn-on and turn-off times of the switching devices, and $f_i(\theta)$ is the switching current function. The switching current function $f_i(\theta)$ equals zero in the intervals where modulation ceases and the absolute value of the corresponding phase current value elsewhere. As a result, the phase current power factor angle φ enters the formula as the integral boundary term and φ dependent switching loss formula yields. Normalizing P_{swave} to P_o , the switching loss value under CPWM condition (which is φ independent), the Switching Loss Function (SLF) of a modulator can be found.

$$P_o = \frac{V_{dc} I_{max}}{\pi T_s} \times (t_{on} + t_{off}) \quad (40)$$

$$SLF = \frac{P_{swave}}{P_o} \quad (41)$$

In (40) the variable I_{max} represents the load current fundamental component maximum value. By the definition of (41), the SLF of CPWM methods is unity. The SLF of the DPWM methods can be easily calculated from the current switching function. Figure 16 shows the ψ and φ dependent switching loss function waveforms of GDPWM. Applying the procedure to GDPWM yields the following SLF [16].

$$SLF_{GDPWM} = \begin{cases} \frac{\sqrt{3}}{2} \cos(\frac{4\pi}{3} + \psi - \varphi) & -\frac{\pi}{3} \leq \varphi \leq -\frac{\pi}{3} + \psi \\ 1 - \frac{1}{2} \sin(\frac{\pi}{3} + \psi - \varphi) & -\frac{\pi}{3} + \psi \leq \varphi \leq \frac{\pi}{3} + \psi \\ \frac{\sqrt{3}}{2} \cos(\frac{\pi}{3} + \psi - \varphi) & \frac{\pi}{3} + \psi \leq \varphi \leq \frac{\pi}{3} \end{cases} \quad (42)$$

The SLF function of the DPWM0, DPWM1, and DPWM2 can be easily evaluated from (42) by substituting $\psi = 0$, $\psi = \frac{\pi}{2}$, and $\psi = \frac{\pi}{3}$. The SLF of the remaining DPWM methods are as follows.

$$SLF_{DPWMMIN} = \begin{cases} \frac{1}{2} - \frac{1}{4} \sin \varphi & -\frac{\pi}{2} \leq \varphi \leq -\frac{\pi}{4} \\ 1 - \frac{\sqrt{3}}{4} \cos \varphi & -\frac{\pi}{4} \leq \varphi \leq \frac{\pi}{4} \\ \frac{1}{2} + \frac{1}{4} \sin \varphi & \frac{\pi}{4} \leq \varphi \leq \frac{\pi}{2} \end{cases} \quad (43)$$

$$SLF_{DPWMMAX} = SLF_{DPWMMIN} \quad (44)$$

$$SLF_{DPWM3} = \begin{cases} 1 + 0.5(\sqrt{3} - 1) \sin \varphi & -\frac{\pi}{4} \leq \varphi \leq -\frac{\pi}{6} \\ 0.5(\cos \varphi - \sin \varphi) & -\frac{\pi}{6} \leq \varphi \leq -\frac{\pi}{12} \\ 1 - 0.5(\sqrt{3} - 1) \cos \varphi & -\frac{\pi}{12} \leq \varphi \leq \frac{\pi}{12} \\ 0.5(\cos \varphi + \sin \varphi) & \frac{\pi}{12} \leq \varphi \leq \frac{\pi}{6} \\ 1 - 0.5(\sqrt{3} - 1) \sin \varphi & \frac{\pi}{6} \leq \varphi \leq \frac{\pi}{4} \end{cases} \quad (45)$$

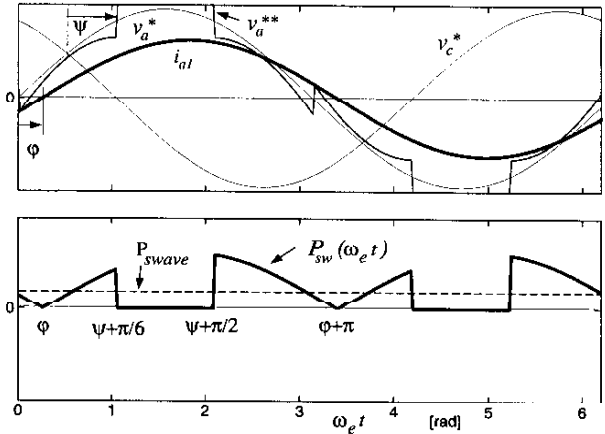


Fig. 16. The average switching losses of GDPWM, $P_{swave} = f(\psi, \varphi)$.

Although the absolute switching loss values obtained from (39) may have limited accuracy due to unmodeled switching device characteristics, the relative switching losses which are represented with the SLF function are always predicted with higher accuracy. Since the SLF derivation assumes the same device characteristics both in P_{swave} and P_o , the error direction is the same in both terms and therefore the relative error is reduced. The SLF functions are powerful analytical tools for evaluating and comparing the switching losses of various DPWM methods.

Figure 17 shows the SLF characteristics of the modern DPWM methods along with the minimum SLF solution the GDPWM method yields. The optimal solution of GDPWM is obtained by selecting $\psi = \frac{\pi}{6} + \varphi$ for $-\frac{\pi}{6} \leq \varphi \leq \frac{\pi}{6}$ [18]. Outside this range, the modulator phase angle must be held at the boundary value of $\psi = \frac{\pi}{3}$ for positive φ (DPWM2) and at the value of $\psi = 0$ for negative φ (DPWM0) so that the GDPWM voltage linearity is retained. Note that outside the $-\frac{5\pi}{12} \leq \varphi \leq \frac{5\pi}{12}$ range DPWM3 yields minimum switching losses. As Figure 17 indicates, the switching losses of DPWM methods strongly depend on φ and can be reduced to 50% of the CPWM methods. Therefore, the SLF characteristics are as important as the HDF characteristics in determining the performance of a modulator.

VII. OVERMODULATION AND VOLTAGE GAIN

In the triangle intersection PWM technique, when the modulation wave magnitude becomes larger than the triangular carrier wave peak value, $\pm \frac{V_{dc}}{2}$, the inverter ceases to match the reference per carrier cycle volt-seconds, and a nonlinear reference-output voltage relation results within certain intervals. SPWM's linear modulation range ends at $V_{lm}^* = \frac{V_{dc}}{2}$ i.e. a modulation index of $M_{LSPWM} = \frac{\pi}{4} \approx 0.785$. Injecting a zero sequence signal to the SPWM signal can flatten and contain the modulation wave within $\pm \frac{V_{dc}}{2}$ such that the linearity range is extended to at most $M_{Lmax} = \frac{\pi}{2\sqrt{3}} \approx 0.907$ which is the theoretical inverter linearity limit [4, 5, 16]. With the exception of THIPWM1/4 which loses linearity at $M_{LTHIPWM1/4} = \frac{3\sqrt{3}}{7\sqrt{2}} \pi \approx 0.881$, all the discussed zero sequence injection PWM methods are linear until M_{Lmax} .

In the direct digital technique, when the reference voltage vector falls outside the modulator linearity region, (11) yields $t_0 + t_7 \leq 0$, indicating the reference volt-seconds can not be matched by the inverter and a volt-second error is inevitable. Shown in Figure 18, the

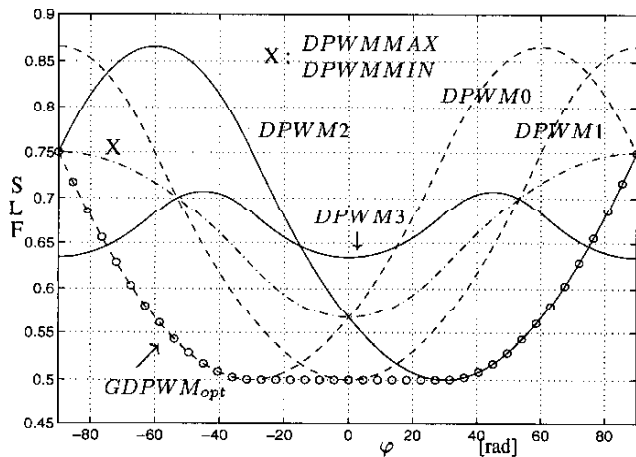


Fig. 17. $SLF = f(\varphi)$ characteristics of the modern DPWM methods under fixed carrier frequency constraint ($SLF_{CPWM} = 1$).

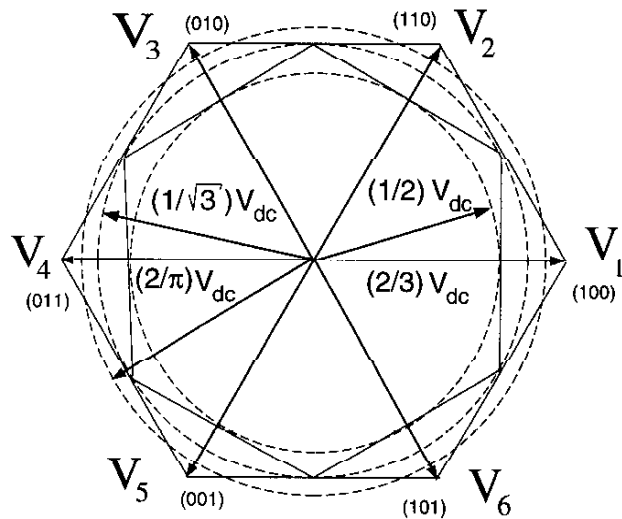


Fig. 18. Vector space illustration of the PWM inverter voltage linearity limits.

complex plane linearity boundaries of the modern modulators correspond to hexagons. The outer hexagon is the inverter theoretical linearity limit and with the exception of SPWM, THIPWM1/4, and THIPWM1/6 all the discussed PWM methods (direct digital or triangle intersection based) are linear inside the hexagon. The SPWM linearity limit is shown in the same diagram with the internal hexagon. The per fundamental component linearity boundaries of these modulators are illustrated with circles which touch the inner boundaries of the hexagons. Figure 19 illustrates the per carrier cycle voltage limits of all the modern PWM methods in detail. The THIPWM1/6 method has elliptic boundaries, while the THIPWM1/4 linearity boundaries resemble the shape of a star with twelve edges [16].

The region starting from the end of the linear modulation region of a modulator until the six-step operating point ($M_i = 1$) is called the overmodulation region. All the PWM-VSI drives experience performance degradation in the overmodulation region and operating in this region is often problematic [16, 23, 24, 25, 26]. The output

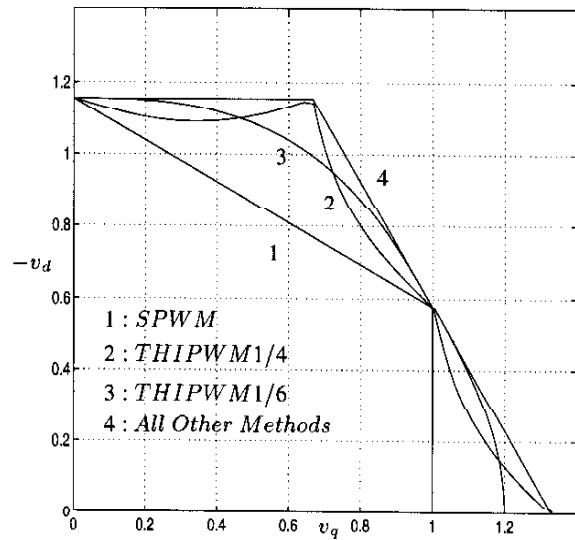


Fig. 19. Vector space illustration of the modulator per carrier cycle voltage linearity limits. All voltage vectors are normalized to $\frac{V_{dc}}{2}$.

voltage waveform quality degrades (subcarrier frequency harmonics are generated) and the voltage becomes increasingly smaller than the reference. However, the overmodulation issues of the closed loop (current controlled) PWM-VSI drives with high dynamic performance requirements, and the open loop ($\frac{V}{f} = \text{const.}$) drives are significantly different [16, 25].

In high dynamic performance applications, the per carrier cycle volt-second errors should be minimized to manipulate a dynamic condition as fast as possible [24]. Therefore the reference-output voltage vector relations influence the dynamic overmodulation performance of a drive. Reference [24] studies the direct digital PWM issues while [27] analytically models the triangle intersection PWM modulator dynamic overmodulation characteristics in detail.

In open loop drives, the dynamic performance requirements are not stringent, however high per fundamental cycle (steady state) performance must be obtained. Correct per fundamental cycle volt-seconds and low harmonic distortion is desirable. However, due to saturation the output voltage fundamental component is smaller than the reference and as the modulation index increases the voltage gain rapidly decreases and the subcarrier frequency harmonic content rapidly increases. Both the per fundamental component voltage gain and the subcarrier frequency harmonic characteristics of each modulator are unique. Both characteristics of triangle intersection [16, 25, 26] and direct digital PWM [23] implementations have been recently investigated in detail and will not be pursued in this paper.

The dynamic and steady state overmodulation analytical tools established in the suggested literature are complimentary parts of the PWM toolbox developed in this paper and could aid the PWM education as well as the practical design and performance evaluation.

VIII. CONCLUSIONS

Simple and powerful analytical and graphical carrier based PWM tools have been developed. These tools were utilized to illustrate and compare the performance characteristics of various PWM methods. The switching loss and waveform quality comparisons indicate SVPWM at low modulation and DPWM methods at the high modulation range

have superior performance. The tools and graphics aid the modulator selection and PWM inverter design process. The magnitude test is an elegant method for generating the modulation waveforms fast and accurately by digital hardware/software or analog hardware. The analytical tools are also helpful in generating graphics of the microscopic current ripple characteristics and illustrating the performance characteristics and the difference between various modulators. Therefore, they aid visual learning. As a result, the paper helps the PWM learning and design experience become simple and intuitive.

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